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PATENT  
19603/230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Erik S. Falck-Pedersen  
Serial No : 08/166,925  
Filed: : 12/14/93  
For : ADENOVIRUS GENE EXPRESSION  
SYSTEM

Examiner:  
Unknown

Art Unit:  
Unknown

A/N

RECEIVED  
APR 19 1994

APPLICATION DIVISION

REQUEST FOR ONE-MONTH EXTENSION OF TIME

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

Applicant hereby requests a One-Month Extension of Time in  
responding to the Patent Office communication mailed 2/3/94.

Enclosed herewith are the following items:

- 1) A check to cover the \$55.00 fee for said extension of  
time;
- 2) A duplicate copy of this letter;
- 3) A return prepaid postcard acknowledging receipt of the  
above-identified material.

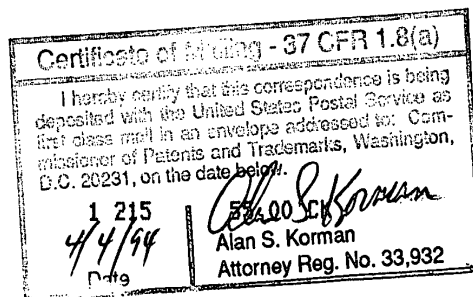
Please charge our Deposit Account No. 14-1138 for additional  
fees that may be incurred.

Respectfully submitted,

Alan S. Korman  
Registration No. 33,932

April 4 1994  
Nixon, Hargrave, Devans & Doyle  
1600 Main Place Tower  
Buffalo, New York 14202  
Telephone: 716/853-8104

040 PB 04/18/94 08166925





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/166,925	12/14/93	FALCK-PEDERSEN	E 19603/230

03A1/0203

ALAN S. KORMAN  
NIXON, HARGRAVE, DEVANS & DOYLE  
1600 MAIN PLACE TOWER  
BUFFALO, NY 14202

RECEIVED

APR 19 1994

DATE MAILED: 02/03/94  
APPLICATION DIVISION

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$\_\_\_\_\_ for large entities or \$\_\_\_\_\_ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☐ large entity, ☐ small entity (verified statement filed), is \$\_\_\_\_\_.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$\_\_\_\_\_ to complete the basic filing fee.
2. ☐ Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover items omitted at time of execution.  

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$\_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$\_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.
10. ☒ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☐ Other.

Direct the response and any questions about this notice to  
Division, Special Processing and Correspondence Branch (703) 308-1202.

Application Processing

**A copy of this notice MUST be returned with the response.**

Docket No. 19603/230  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Erik S. Falck-Pedersen  
Serial No.: 08/166,925  
Filed: 12/14/93  
For: ADENOVIRUS GENE EXPRESSION SYSTEM

TRANSMITTAL LETTER

HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231  
Attention: Application Division

Dear Sir:

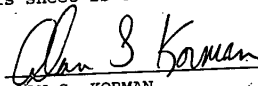
In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d), which was mailed by the United States Patent and Trademark Office on 2/3/94, enclosed are:

- (X) A Combined Declaration and Power of Attorney.
- (X) A Request for Extension of Time 1 month.
- ( ) A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- (X) A paper and computer readable copy of the "Sequence Listing", and Statement Under 37 C.F.R. § 1.821(f).
- (X) A Copy of the Notice to File Missing Parts.
- (X) Fees as calculated below:

FEE FOR EXTENSION OF TIME <u>1</u> month	\$ 55.00
SURCHARGE 37 CFR 1.16(e)	\$ 65.00
ADDITIONAL FEES	\$
TOTAL FEES SUBMITTED HERewith	\$120.00

- (X) A check in the amount of \$120.00 to cover the above fees.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 14-1138. A duplicate copy of this sheet is enclosed.

Dated: 4/4/94

  
ALAN S. KORMAN  
Registration No. 33,932

NIXON, HARGRAVE, DEVANS & DOYLE  
1600 Main Place Tower  
Buffalo, New York 14202  
Telephone: (716) 853-8104

**Certificate of Mailing - 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 4/4/94